



Stringfellow Consulting Services Unlimited

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Registrations for Stringfellow Consulting Services:

Federal TIN:
91-2106374

Federal CAGE Code:
5PRX3

NAICS Codes:
541990, 54618, 611710, 611699, 611710, 922120, 922190

DUNS:
019826127

NIGA Member & Certified Trainer



Training & Company Philosophy:

Consultants, CPA Firms and Trainers need to deliver maximum performance at realistic rates; the services they provide should not be based on a “get rich quick” philosophy. No methodology, no amount of knowledge should be held back from students in order to assure a “call-back” engagement.

Master Copy Manuals should be given to clients, and as many copies as are needed be made by the client. Limitation on class size should be based on Teaching ability, not greed; No extra “per-head” charges should be levied.

All fees and costs should be clearly stated in contracts. We should remain accessible to those we have taught, for future questions, support or concerns...as a duty, not a billable sale!

Training should be alive and exciting, not textbook reading sessions! The trainers should be familiar with their topics and be able to teach without reading verbatim, or standing rigidly at a podium.

We should distribute any tools at our disposal to further the integrity of gaming, not as Grossly Profitable Merchandise, but as a show of faith and duty to the continued growth and security of the industry. This includes: Policies and Procedures, Reference Materials; Video Materials...the full extent of our “Arsenal” should be at our clients’ disposal at a reasonable cost or free.

All of our offerings are at minimum time allowable. If you would like to discuss allowing more time for more complete coverage of a subject or course, please feel free to give us a call!

SCSU Gaming Law Enforcement Education Series

Series One: “Fraud, Fact and Fantasy”:

1-I. Check Fraud for Regulators and Law Enforcement (1 ½ Days)

What is Check Fraud?

Types of Check Fraud

Forgery
Counterfeiting and Alteration
Paperhanging
Check Kiting
Types of Chemicals Reported Used

Problem at hand

Case Studies
The Red Flags
Theft of Mail
Safety Measures to Follow
Protecting outgoing Mail

Victimology

How much money victims typically lose
Where the scammers are located
Who is being victimized

Recent News Articles

Positive Protective Measures

Check Security Features:
New Security Features Available:
Writing Instruments to Avoid

Signs of bad checks

Check Fraud Tips for the Consumer
Check Fraud Tips for Businesses
Check Fraud Tips for Law Enforcement

Detecting Counterfeit Checks

Check Fraud Statistics

Returned Check Statistics
Internet Fraud, Scam and Crime Statistics - 2009

1-II. Introduction to Gaming Scams for Regulators & Law Enforcement (2 Days)

What Are Gaming Scams?

Crime Formulas

The Crime Formula
Prioritized Assets
Violators Diagram
Industry Scope

Problem at hand

Case Studies
The Red Flags
Internet Cheating Devices Available
Safety Measures to Follow

Victimology (House and Public)*

How much money victims typically lose
Where the scammers are located
Who is being victimized

Positive Protective Measures*

Codification
Internal Control
Policy & Procedure
Training and Education

Gaming Scam Statistics

US Stats for the Industry
Global Statistics for the Industry
Comparison to Worldwide Overall Crime Stats
Recent News Articles

Detecting & Preventing Casino Scams*

Front of the House Scams (Customer/Vendor)
Table Games
Slot Machine (Including TITO)
Kiosk and Credit Devices
Back of the House Scams (Employee/Vendor)
Marketing
Payroll
F&B
Maintenance & Construction
General Ledger

* Indicates that on 2 Day Seminars, these courses are shortened to fit the time constraints.

1-III. Gaming Law Enforcement for Regulators and Law Enforcement (2-3 Days)

By Whose Authority?

Indian Gaming Regulatory Act & Related Regulations

Formation and Ratification

Gaming Classifications

Scope

Amendments

N.I.G.C & MICS

Johnson Act

Title 18

Crime Formulas

The Crime Formula

Prioritized Assets

Violators Diagram

Industry Scope

Criminal Infiltration*

Case Studies

The Red Flags

Organized Crime Groups

Organized Criminal Enterprises

Gaming Evidence Control*

What Evidence Is

Evidence Rules

Collecting and Storing Evidence

Evidence Case Studies

Venn Diagramming (Link & Event Charting Tool)

Information Collection

Organization & Value of Information

Deductive & Inductive Reasoning

How to Use Venn Diagramming

Applied Exercises in L&E Charting

* Indicates that on 2 Day Seminars, these courses are shortened to fit the time constraints.

1-IV. Outcome Based Regulation for Regulators and Law Enforcement (2-3 Days)

What is Outcome Based Regulation/Strategic Business Intelligence?

Risk Management

Concept

Potential Gains

Problem at hand

Pro-active Outcome-based Regulation Barriers Explained through 5 Concepts
Change, Globalization, Influences, Totality & Information Liquidity

Application of OBR/SBI

Changing Barriers to Attributes

How Assets Become Compromised

The Crime Formula

Infiltration

Organized Criminal Influence

Risk Management Elements

Communicate & Consult

Establish the Context

Risk Identification

Risk Analysis

Risk Evaluation

Risk Treatment

Monitor & Review

Intelligence

Intelligence Cycle

Information Types

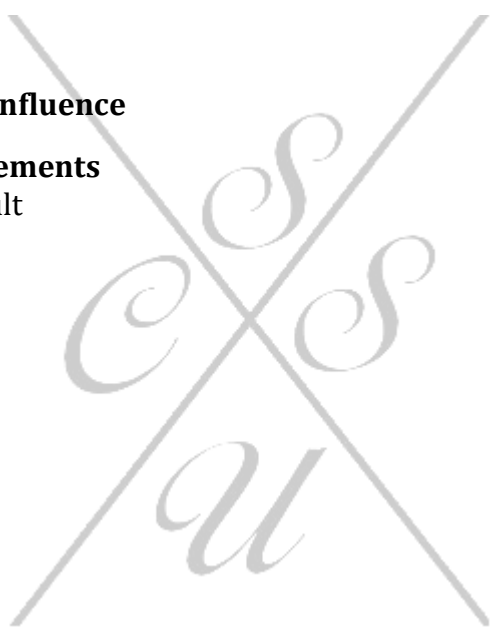
Intelligence Planning

Assessment

Intelligence Tools

The Keys to OBR

Putting it All Together



1-V. Internal Audit for Regulators and Law Enforcement (2-3 Days)

Why is the Internal Audit Department Important?

IA Functions

Position Descriptions

Duties & Responsibilities

Industry Standard Performance Indicators

Problem at hand

Revenue/Net Win

Slot Department

Table Games Department

Bingo Department

The Big Picture

Gaming Operations Functional ISPI

Application of IA

Product (Deliverables) Expected of an Internal Auditor

Internal vs. External Audit

How Assets Become Compromised

The Crime Formula

Infiltration

Organized Criminal Influence

Risk Management Elements

Authority of the Internal Auditor

Responsibilities of the Internal Auditor

Planning

Internal Audit Reports

Schedules

Guidance

Compact

MICS / TICS

Policies & Procedures

Internal Audit & The Gaming Arena

Putting it All Together

1-VI. Tribal Gaming Inspector for Regulators and Law Enforcement (3 Days)

What is the Role of the Tribal Gaming Inspector?

Job Functions

Position Descriptions
Duties & Responsibilities
Reports & Report Writing

Problem at hand: Scams and Inspections

Card Check and Inventory
Slot Department
Table Games Department
Bingo Department
Poker Department
The Big Picture

Application of Gaming Inspections

Product (Deliverables) Expected of the Tribal Gaming Inspector
Internal vs. External Audit

How Assets Become Compromised

The Crime Formula
Infiltration
Organized Criminal Influence

Risk Management Elements

Authority of the Gaming Inspector
Responsibilities of the Gaming Inspector
IGRA & Compact

Planning

Facility Inspection
Gaming Floor Inspection
Schedules

Guidance

Policies & Procedures
Internal Controls
Mission and Philosophy

Gaming Inspectors & The Gaming Arena

Putting it All Together

1-VII. Gaming Scams Full for Regulators & Law Enforcement (3 Days)

What Are Gaming Scams? (Day 1)

Crime Formulas

The Crime Formula
Prioritized Assets
Violators Diagram
Industry Scope

Problem at hand

Case Studies
The Red Flags
Internet Cheating Devices Available
Safety Measures to Follow

Victimology (House and Public)

How much money victims typically lose
Where the scammers are located
Who is being victimized

Positive Protective Measures

Codification
Internal Control
Policy & Procedure
Training and Education

Gaming Scam Statistics

US Stats for the Industry
Global Statistics for the Industry
Comparison to Worldwide Overall Crime Stats
Recent News Articles

Detecting & Preventing Casino Scams (Days 2 and 3)

Front of the House Scams (Customer/Vendor)

Table Games
Slot Machine (Including TITO)
Kiosk and Credit Devices

Back of the House Scams (Employee/Vendor)

Marketing
Payroll
F&B
Maintenance & Construction
General Ledger

1-VII. Gaming Scams (Continued) for Regulators & Law Enforcement (3 Days)

Detecting & Preventing Casino Scams Breakdown:

Front of the House Scams (Customer/Vendor)

Table Games (Roulette and Craps can be included, too!)

Daub
Basing
Card Counters
Shuffle Tracking
Marked Cards
Juicing
Ruby Red Readers
Mucking and Bugs

Slot Machine (Including TITO)

TITO Scams & Counterfeiting
Black Boxes
Credit Signalers
Retrieval Devices

Kiosks

Credit Devices
Signalers
Simul-Feeding

Back of the House Scams (Employee/Vendor)

Marketing
Payroll
F&B
Dumpster Diving in the 21st Century
Maintenance & Construction
General Ledger

SCSU Gaming Education Series

Series Two: “Gaming Was Only The Beginning”:

2-I. Administration & Operation of Background Investigations for
Casino Ops, Compliance, Regulators & Law Enforcement (2-3 Days)

The Foundation of the Background Investigations Unit

As a Civilian Unit

As a Law Enforcement Agency

Becoming Recognized as LE

Systematic Approach to Background Investigation

Back Grounding Vendors

Hidden Ownerships

Associations

Data Bases

Guidance

Exemptions?

BIU Internal Controls

Setting up the BIU

Jurisdiction

Authority

Pro-Active Back Grounding for Suitability

Due Diligence

Reports, Findings and Field Work

Preparing for the Background Investigation

Preparing for Field Work

The Red Flags

Checklists and Forms

Recent News

How backgrounds fail

Events that prove BI faulty

Overcoming or Mitigating Background Risk

Recent News and Events

Positive Protective Measures

Recertification – Timelines and Events

Levy of Civil Fines

Levy of Criminal Fines

Link & Event Charting for Back Ground Investigators (Mock Investigation)*

2-II. Gaming Surveillance Protocol & Asset Protection for Casino Ops,
Compliance, Regulators & Law Enforcement (3 Days)

The Foundation of the Surveillance Unit

As a Civilian Unit

As Law Enforcement

Systematic Approach to Surveillance Observations

Watching Your Back

Associations

Data Bases

Guidance

Exemptions?

Surveillance Internal Controls

Setting up the MR (Again!)

Jurisdiction

Authority

Pro-Active Surveillance

Credibility and Due Diligence

Reports, Findings and Review Work

Preparing for the Investigation

The Report : WWWWW&H

Preparing for Review Work

The Red Flags

Checklists and Forms

Recent News

How Surveillance fails

Events that prove Surveillance faulty

Overcoming or Mitigating Surveillance Risk

Recent News and Events

Positive Proactive Measures

Scams Knowledge

Audit Knowledge

Levy of Criminal Fines

Link & Event Charting for Surveillance (Mock Investigation)*

Title 31 / BSA for Surveillance

2-III. Gaming Security Protocol & Asset Protection for Casino Ops,
Compliance, Regulators & Law Enforcement (3-4 Days)

The Foundation of the Security Unit

As a Civilian Unit; As a Law Enforcement Unit; As a Mixed LE/Civilian Unit
Systematic Approach to Security

Watching Your Back

Associations
Guidance
Exemptions?
Ethics
Security & Legal Issues
Verbal Judo

Security Internal Controls

Working with Surveillance
Jurisdiction
Authority
Pro-Active Security
Credibility and Due Diligence
Use of Force Continuum
Armed/Unarmed Robbery
Theft Scenarios
Handling Threat Events

Reports, Findings and Review Work

Preparing for the Duty
The Report : WWWWW&H
The Red Flags
Checklists and Forms

Recent News

How Security fails
Events that prove Security faulty
Overcoming or Mitigating Security Risk
Recent News and Events

Positive Proactive Measures

Scams Knowledge:
Basic scams in your casino (Table Games, Slots, Front and Back of House)
Evidence Handling for Casino Security
Link & Event Charting for Security*
Mock Investigation*

*These 2 courses make this a 4 day Seminar

2-IV. Introduction to Gaming Scams for Casino Operations, Regulators & Law Enforcement (2 Days)

What Are Gaming Scams?

Crime Formulas

The Crime Formula
Prioritized Assets
Violators Diagram
Industry Scope

Problem at hand

Case Studies
The Red Flags
Internet Cheating Devices Available
Safety Measures to Follow

Victimology (House and Public)*

How much money victims typically lose
Where the scammers are located
Who is being victimized

Positive Protective Measures*

Codification
Internal Control
Policy & Procedure
Training and Education

Gaming Scam Statistics

US Stats for the Industry
Global Statistics for the Industry
Comparison to Worldwide Overall Crime Stats
Recent News Articles

Detecting & Preventing Casino Scams*

Front of the House Scams (Customer/Vendor)
Table Games
Slot Machine (Including TITO)
Kiosk and Credit Devices
Back of the House Scams (Employee/Vendor)
Marketing
Payroll
F&B
Maintenance & Construction
General Ledger

* Indicates that on 2 Day Seminars, these courses are shortened to fit the time constraints.

2-V. Gaming Scams Full for Casino Ops, Regulators & Law Enforcement (3 Days)

What Are Gaming Scams? (Day 1)

Crime Formulas

The Crime Formula
Prioritized Assets
Violators Diagram
Industry Scope

Problem at hand

Case Studies
The Red Flags
Internet Cheating Devices Available
Safety Measures to Follow

Victimology (House and Public)

How much money victims typically lose
Where the scammers are located
Who is being victimized

Positive Protective Measures

Codification
Internal Control
Policy & Procedure
Training and Education

Gaming Scam Statistics

US Stats for the Industry
Global Statistics for the Industry
Comparison to Worldwide Overall Crime Stats
Recent News Articles

Detecting & Preventing Casino Scams (Days 2 and 3)

Front of the House Scams (Customer/Vendor)

Table Games
Slot Machine (Including TITO)
Kiosk and Credit Devices

Back of the House Scams (Employee/Vendor)

Marketing
Payroll
F&B
Maintenance & Construction
General Ledger

2-V. Gaming Scams (Continued) for Casino Ops, Regulators & Law Enforcement (3 Days)

Detecting & Preventing Casino Scams Breakdown:

Front of the House Scams (Customer/Vendor)

Table Games (Roulette and Craps can be included, too!)

- Daub
- Basing
- Card Counters
- Shuffle Tracking
- Marked Cards
- Juicing
- Ruby Red Readers
- Mucking and Bugs

Slot Machine (Including TITO)

- TITO Scams & Counterfeiting
- Black Boxes
- Credit Signalers
- Retrieval Devices

Kiosks

- Credit Devices
- Signalers
- Simul-Feeding

Back of the House Scams (Employee/Vendor)

- Marketing
- Payroll
- F&B
- Dumpster Diving in the 21st Century
- Maintenance & Construction
- General Ledger

CLASSES LISTED INDIVIDUALLY:

For Customized Courses Select from the Following

Advanced Surveillance Protocol: Excellent for the existing surveillance team. Seminar examines the Structure of the Monitor Room and Surveillance Personnel, Effective use of Equipment, Chain of Command, Dealing with Non-Standard Protocol events, Politics in the Surveillance Department, Report Writing & Effective Logging and Design (or Re-design) of a Pro-active Surveillance Monitor Room. Can be down-graded to Surveillance Protocol for New Teams.

Bills & Bullets: Counterfeiting and Concealed Weapons.

Bingo Scams Detection & Prevention: Bingo offers many opportunities for employees and patrons to profit illegally. We will examine how bingo scams work, and how to deter the thieves and their cohorts!

Blackjack Training For Surveillance (And Other Gaming Departments): Starting with the layout, cutting checks, sizing bets, the payout, the take and hand signals... Everything we take for granted about the game. Includes all equipment descriptions and uses. One of the CASINOS MOST valuable tools is training!

Card Counting & Other Advantage Play: Legal ways of beating a casino – but unacceptable according to the very definition of gambling. What these methods are, how to detect them, and how to stop or prevent them from occurring in your house.

Compliance In The Gaming Industry: 3 Days. A Select grouping of course offerings, designed to enlighten compliance officers and those responsible for maintaining the integrity of the industry. All elements of crime, scams and fraud are examined...as well as common mistakes and misconceptions which can lead To A non-compliant operation.

Crime (Asset Protection) Formulas: This is a course designed to introduce the participants to the following investigative formulas: The Crime Formula; The Asset Formula; Scope of the Industry & The Violators Diagram. The review of these formulas will provide a foundation from which this seminar will build upon.

Development & Implementation of the MOU: Designed for Administrators and Supervisors, but useful to all personnel of the Tribal Gaming Enterprise, this course establishes guidelines for Writing, Executing and Implementation of the Memorandum of Understanding.

Ethics: Most important in the development of effective Security, Surveillance, Commission Officers & Casino Employees is the understanding of what Ethics Are. Not only in Behavior, but also in Thought Process and Integration into the Employee or Officers' Daily Affairs.

Gaming Operations Structure: During this course, we will define the scope of a gaming facility in efforts to identify the personnel and entities involved. We will review the various types of positions within a casino in efforts to establish nomenclature, description of job functions and chain-of-command.

Identity Theft, Pick Pockets & Credit Card Scams: This is a course requiring the touch of an expert...and we have two to choose from, so one is always available!

Introduction to I.G.R.A. / MICS / TICS & Compacts: This class is designed to introduce the participant to the basic components of the Indian Gaming Regulatory Act. In examining the Act, we will identify the gaming regulatory oversight responsibilities of the Tribe, The National Indian Gaming Commission and State and/or Federal Law Enforcement Agencies. We will examine in part, how the NIGC Minimum Internal Controls effect a Surveillance Department and determine the consequences of non-compliance.

Link & Event Analysis (Venn Diagramming): This block of instruction is designed to introduce the participant to analytical thought using an investigative tool that organizes information, facilitating investigations with multiple subjects. We will examine how Link Analysis can assist in outlining criminal organizational structure, make inferences by employing validity indexes to information, and plot information in efforts to identify and determine Associations of all subjects involved in the investigation.

Lottery Scams: We will cover ticket scams (Scratch Type) such as: Bubbling, Pin-Holing, Scrapes/Scratches, Latex Work, How Microwave Ovens can render a ticket readable, False Inventories Etc. This Block is a scary eye-opener, showing how easy it is to cheat scratch type tickets...relax, the good-guys can still come out ahead!

Lottery Web Sites: How the bad-guys use state lottery logos and styling to set up temporary "Fast Cash" websites of their own. How to detect these sites, and stop them from occurring.

Lottery Security: Is your State Lottery Security as good as you think? Probably not. Covered in this section are security techniques used by the government when protecting strategic weapons, and Q-Classified Installations.

Organized Crime & Gaming (Introduction): During this block of instruction we will identify those elements that influence the gaming industry specific to the compromising of assets. We will define Organized Crime, review their various structures and examine numerous methods of infiltration into the gaming and associated industries. This is an introductory course.

Organized Crime & Gaming: During this block of instruction we will identify those elements that influence the gaming industry specific to the compromising of assets. We will define Organized Crime, review their various structures and examine numerous methods of infiltration into the gaming and associated industries. This course will examine Traditional Organized Crime Groups to include the La Cosa Nostra (Mafia), Yakuza, and the Russian Mafia; and Non-Traditional Groups that include SOUTHEAST Asian Operations, Hispanic, and Arian Groups. During this course we will review actual case studies in efforts to identify specific modus operandi and examine how these groups were successfully detected, investigated and prosecuted.

Principles of Asset Protection Part I (Casino Scams Part I): During this course we will define the scope of the industry. We will then examine the relationship between Internal Controls and the Detection of illegal activities within the industry. Starting from the Construction phase of a gaming operation we will move through the Human Resources Department, Maintenance Department, Food & Beverage Department, Marketing Department and Casino Floor, examining the scams that occur within these divisions. We will review the components of these scams, identify key indicators and outline methods of detection and prevention.

Principles of Asset Protection Part II (Casino Scams Part II): This block of instruction is a follow-up course to Asset Protection Part I (Casino Scams Part I). During this course we will focus on the scams and cheating activities that occur on the gaming floor. We will include both Table Games Scams (21/ Black Jack and Poker) and Slot Machine or Video Lottery Terminal Scams, Bingo and Pull-Tabs. When reviewing these scams we will demonstrate how they occur and include various methods of detection and prevention. In examining methods of detection we will include the review of “Spot Inspection” forms that can be used as a guide when conducting dealer and/or game play evaluations.

Principles of Gaming Evidence: During this block of instruction we will examine the components of the Rules of Evidence. In this review we will define the term “Chain of Custody” of evidence. We will examine the process of maintaining the “Chain of Custody” from the point of detection of evidence, through collection, documentation, and maintenance of that evidence specific to a Security or Surveillance officer. We will review a proper Evidence Receipting and maintenance process and examine the consequences of non-compliance. Also discussed will be the techniques specific to preserving Gaming Specific Evidence.

Principles of Surveillance: This block of instruction is designed to introduce the participant to the principles of surveillance. Focusing on Pro-Active Surveillance Techniques, we will review the differences between Covert, Overt and Defensive Surveillance. We will also examine how both Operations and Compliance use Surveillance in efforts to protect Employees, Patrons, and Assets and ensure games integrity.

In addition we will examine various methods of determining Revenue Savings as established through the use of the Surveillance Department. We will also review the minimum standards pursuant to documentation that are required to be maintained by the Surveillance Department per the NIGC Minimum Internal Controls.

Report Writing: What to Write, How to Write it, and How much of it to Write! Sound simple? It isn't. Report Writing is a learned skill, not an automatic instinct!

Security & Armed/Unarmed Robbery: They are here. They are considered dangerous. We know we give them what they want.....but what then? This course runs through the scenarios and pro-active planning required to make it through a robbery intact: you, your employees and your customers. It is strongly suggested that all teams participate in this program, from surveillance to gaming floor.

Security & Legal Issues: During this class we will review the basic legal issues that pertain to the Security and Surveillance Officer pursuant to the following topics: Arrest Vs Detention, Pat Downs Vs Search, Scope of Search, Use of Force, and Evidence. During this course we will examine how to ensure compliance with the laws and yet maintain legal and personal safety.

Security & Threat Assessment/Handling: Are you and your team really ready for Bomb Threats? Terrorist Actions? Fire? This course is designed to be realistic, so the weak at heart need not attend. However, we strongly suggest this for those who truly believe it is not a matter of IF it will happen here....but WHEN!

Spot Inspection Procedures: This course is designed for the gaming regulator/compliance auditor. During this course, we will examine how a “Spot Inspection” can assist in the detection of deviance in procedures, identify reasons for variances and inspect equipment and facilities For integrity compliance. The manuals will contain sample Spot Inspection forms that may be used by the participants.

Surveillance & Other Departments...working together Effectively: During this

course, we will review which specific functions a Surveillance Department provides for Management, Security, Marketing and Regulatory Oversight. We will identify the common obstacles that arise between Surveillance and other Departments and examine how to overcome those issues. We will examine the role of a Memorandum Of Understanding (M.O.U.) and provide guidance in how to develop an effective M.O.U. and follow-up between Surveillance and the various departments. Proper Chain of Command and Reporting Procedures, Information sharing and dissemination trees, and defining department and group Mission Statements. We will examine the legal consequences and asset protection compromises that are made when not working together in an effective manner.

Threat Assessment – Imminent Harm: A great short course on handling threat calls (Bomb, Robbery & General). Proper protocol, listening and retention techniques. A standard Threat Assessment Form is provided, and may be used as a master copy for the participants' organization.

Verbal Judo: This verbal tool is for use when de-escalation of a situation/use of force continuum requires immediate, hands-off control of a subject. Verbal and Body commands, Awareness and Bearing are taught.



“You Know or Should Have Known”
SCSU’s Bank Secrecy / Anti-Money Laundering Training,
Assessment and Independent Review (Available on Request)

2-I. BSA Compliance & Updates Seminar

What is Money Laundering?

Money Laundering Defined
Effects of Money Laundering
Global and National Stats

What was Title 31?

The History of Title 31
CTRC Amendments
FAQ’s
Guidance

What are SARCs?

The Nature of Suspicious (Smurfing, Structuring and Agents)
Safe Harbor
The U.S.A. P.A.T.R.I.O.T Act
Pro-Active SARCs

Problem at hand

Preparing for an IRS Audit
The Red Flags
Customer Identification Protocols

Recent News

BSA AML Updates
Forms Updates
Recent News and Events
Chapter “X”

Positive Protective Measures

Pro-Active Risk Assessment
BSA Risk Assessment
BSA Tools
OFAC/FATF

BSA / AML Risk Assessment Compliance & Train the Trainer Workshop

What the Hosting Casino Receives:

Full 2-day BSA/AML Seminar for Management, Line Employees and Trainers Plus the 1-day Train the Trainer course with Support Materials.

We cannot offer this course in any less time, to do so would not be fair to you as the client.

The Trainers must go through the entire seminar, to get a feel for it - and then do the Train the Trainer Work shop.

We cannot train the trainers without a full seminar.

Seminar Fee Includes:

- Manual – Seminar Master Copy (Facility makes copies for each attendee)
- CD-ROM for each attendee
- All Handouts for each attendee
- Up to 45 Attendees may participate plus the Trainers
- All The Same “Bells and Whistles” we do at our infamous Regional Seminars!
- Set the seminar up as you would any other seminar you contract to train...if you normally provide amenities, lunch, whatever, then do so for this group!

For Train the Trainer Segment:

- Manual – Seminar Master Copy (Facility makes a copy for each trainer)
- How to Train (And make even Mandatory training come to life!)
- Training Entry Level, Management Level and Update Level Curriculum
- Public Speaking and Training segment
- Testing Do's and Don'ts
- Training tools (Scheduling, Audit Trails, Etc.)
- How to Stay Current in your training
- Examination and Practical use of All tools discussed in the main BSA Seminar
- An Adaptable Power Point Training Presentation on a CD-Rom
- Testing Worksheets and Criteria (The same type test Barron developed for Joseph E ve; CPA's)
- Trainer Files on a CD-Rom
- All training materials for the Train the Trainer sessions can be customized to Facility Specifications

All materials are ready for Gaming Commission Approval

BSA AML Risk Assessment (5 Days)

Based on Sarbanes-Oxley (SOX) compliance, beginning with a Review of your Written BSA Compliance Program (We bring a Written BSA Compliance Program with us, in the event one is needed; or ours may be joined with your existing program). 2 to 4 BSA / AML Specialists perform this assessment onsite.

- **Assessment Segment:**

Starting with the Written Compliance Program, we move into CIP, MTL, CTRC, SARC and MIL Assessments. We will work closely with your Compliance Officer / Auditor to evaluate Deadlines, Compliance and Control, which are then rated and documented. Training programs are examined, as well as a multitude of Internal and External risk factors.

- **Debriefing Presentation:**

After the BSA AML Risk Assessment is complete, the Commission and Operations join us for the learning and review segment.

- **Learning & Review Segment:**

Assessment is preceded by a 2-day BSA/AML seminar: "BSA AML - From Terror to Compliance". The course includes All items covered in our 2-I. Course plus:

- How A Risk Assessment Works
- What a Risk Assessment Means
- Your BSA Risk Assessment Review

The whole process spans 5-Days:

Day 1 - 3	Day 4 & 5
BSA Risk Assessment	Seminar & Review

BSA AML Written Compliance Implementation & Risk Assessment (3 Phase)

Implementation & Introduction Phase I. (5 Days)

We provide the Written BSA AML Program, and assist with its implementation, as well as provide training to acquaint upper level management with its purpose and procedures.

All required Forms, Information and Protocols are disseminated to the departments responsible for practical application of the procedure/information.

We then perform a One-Day training program to assist these departments with familiarity and use of the program.

Day 1 - 4	Day 5
Introduction & Implementation of Program	Indoctrination Training for Upper Management

• **Assessment and Training Phase II. & III. (5 Days)**

Based on Sarbanes-Oxley (SOX) compliance, beginning with a Review of your Written BSA Compliance Program (We bring a Written BSA Compliance Program with us, in the event one is needed; or ours may be joined with your existing program). 2 to 4 BSA AML Specialists perform this assessment onsite.

• **Assessment Segment:**

Starting with the review of implementation of your Written Compliance Program, we move into CIP, MTL, CTRC, SARC and MIL Assessments. We will work closely with your Compliance Officer / Auditor to evaluate Deadlines, Compliance and Control, which are then rated and documented. Training programs are examined, as well as a multitude of Internal and External risk factors.

• **Debriefing Presentation:**

After the BSA AML Risk Assessment is complete, the Commission and Operations join us for the learning and review segment.

• **Learning & Review Segment:**

Assessment is preceded by a 2-day BSA Title 31 seminar: "BSA AML – From Terror to Compliance". The course includes All items covered in our 2-I. Course plus:

- How A Risk Assessment Works
- What a Risk Assessment Means
- Your BSA AML Risk Assessment Review

The whole process spans 5-Days:

Day 1 - 3	Day 4 & 5
BSA Risk Assessment	Seminar & Review

RISK ASSESSMENT SERVICES:

Covert Facilities Inspection & Dealer/Employee Evaluation (5 Days)

Beginning with Covert Observation of the area(s) being assessed, Everything from Gaming Tables, Machines, Comps, Coupons (Match Plays), Secure Storage gets a thorough going over. This has been described as a “Clean Sweep, A Chance to Start Over” by Casinos in America & Australia. Your Staff learns as we do these inspections/evaluations. Patterned on Tell/Show/Do methodology.

- **Covert Segment:**

Depending upon the size of the facility, and the scope of the contract, 1 to 4 SCSU Agents “work” the casino covertly for 2 days without Gaming Operations’ Knowledge. Prior to, and Immediately Following each working shift, the SCSU Employee is searched and Inventoried at the Tribal Gaming Commission Office. The agents then proceed to the casino just like any other cross roader team.

Slots, Table Games, Comps/Coupons, Cage are the first “targets” for covert observation & assessment. ALL Methods used by cross roaders may be used against the casino at this point. If Surveillance answers to the Commission, they may film activities (But, since they are “In” may not advise floor of the activities). If Surveillance is out of the loop, then we wish them luck!

This covert assessment is a tool for discovering weaknesses in your facility, We have actually left casinos with “Stolen” Internal Controls, Keys, Radios, A Slot Machine, Checks, Roulette Chips, Comped Leather Jackets, a 2000 Jeep Cherokee....all taken directly to the Gaming Commission Offices.

As soon as TGC Gets the All Clear, a list of times and locations is supplied to surveillance so that Video/DVR can be pulled and compiled for evaluation. This media will be presented at the debriefing on Day 3 in Commission Chambers.

- **Debriefing Presentation:**

After the covert assessment is complete, the Commission is given the all clear to request Tapes/DVR media from the monitor room, based on a Time/Date/Location matrix provided by SCSU Agents. This media is presented and reviewed in the Gaming commission chambers (Usually a representative from each Operational Department is present), along with a 4-Tier written report: I. Synopsis, II. General, III. Findings and followed by suggestions for IV. Corrections. The synopsis is delivered orally by SCSU and augments the visual media supplied by the Monitor Room staff.

- **Learning Segment:**

All Assessments are preceded by a 2-day seminar. Covert Facility assessment is covered in the 2-day presentation “Introduction to Asset Protection”. The course includes:

- Introduction to IGRA/MICS
- Crime Formulas
- Surveillance & Other Departments Working Together Effectively
- Gaming Scams I. & II. (Front and Back of the House)

The whole process spans 5-Days:

Day 1 & 2	Day 3	Day 4 & 5
Covert Assessments	Presentation of Findings	Seminar

Security Department Risk Assessment (5 Days)

Based on sound Law Enforcement and Private Security principles, we examine Use of Force, Physical Layout, Patrol Ability, Training, and a multitude of other Risk factors in this highly volatile industry. Two to 4 Specialists perform this assessment onsite. We have a contract CPA who can provide attestation, if you require it.

- **Assessment Segment:**

Starting with the State Compact and moving on to the Written Internal Controls and Policy/Procedure (or SOP Manual), we perform Physical and Practical Assessments of the Security Department, Personnel and Practical Applications. We will work closely with your Director and Officers to evaluate Compliance and Controls, which are then rated and documented. Training programs are examined, as well as a multitude of Internal and External risk factors.

- **Debriefing Presentation:**

After the Security Risk Assessment is complete, the Management and Personnel of the Department join us for the learning and review segment.

- **Learning & Review Segment:**

Assessment is preceded by a 2-day Security seminar: "Gaming Security in a Volatile Industry". The course includes All items covered in our 2-IV. Gaming Security Protocol Course plus:

- How A Risk Assessment Works
- What a Risk Assessment Means
- Your Security Department Risk Assessment Review

The whole process spans 5-Days:

Day 1 - 3	Day 4 & 5
Security Risk Assessment	Seminar & Review

MICS/TICS Compact & Gaming Contract Assessment (5 Days)

Beginning with Covert Observation of the area(s) being assessed, Minimum Internal Controls, Tribal Internal Controls, Tribal State Compact and Gaming Contracts get a thorough going over. An opportunity to respond to findings brought about by a reckless or un-knowledgeable Auditor. Because Casino Compliance Audit should not be left solely up to a CPA who has never worked in a Casino! Your Staff learns as we do these inspections/evaluations. Patterned on Tell/Show/Do methodology. We have a contract CPA who can provide attestation, if you require it.

• Covert Segment:

Depending upon the size of the facility, and the scope of the contract, 1 to 4 SCSU Agents observe the casino covertly for 1 days without Gaming Operations' Knowledge. Our agents are looking for compliance and compact issues, and will interview surveillance during these observations.

Class II. & III. Assessments are performed, we are not limited to performing an AUP. All supporting documentation and internal reports are reviewed to determine the facilities compliance with standards and procedures.

• Debriefing Presentation:

After the covert assessment and overt evaluation of documents, standards and procedures are complete, the Commission is given a 4-Tier written report: I. Synopsis, II. General, III. Findings and followed by suggestions for IV. Corrections. The synopsis is delivered orally by SCSU and augments the work papers completed. (Usually a representative from each Operational Department is present)

• Learning Segment:

All Assessments are preceded by a 2-day seminar. Covert Facility assessment is covered in the 2-day presentation "Introduction to Asset Protection". The course is open to the entire staff and includes:

- Introduction to IGRA/MICS
- Crime Formulas
- Surveillance & Other Departments Working Together Effectively
- Gaming Scams I. & II. (Front and Back of the House)

The whole process spans 5-Days:

Day 1 & 2	Day 3	Day 4 & 5
Covert Assessments	Presentation of Findings	Seminar

Surveillance & CCTV Department Assessment (5 Days)

Based on sound Surveillance Protocol and CCTV principles, we examine everything from System Integrity (Hardware, Software, Wiring, Power, Back-Up and Redundant Systems, Installation and Current state of wiring & cameras), Physical Layout of Cameras, Views (MICS, TICS and Compact taken into consideration), Training, and a multitude of other Risk factors in this highly volatile industry. Two to 4 Specialists perform this assessment onsite.

- **Assessment Segment:**

Starting with the State Compact and moving on to the Written Internal Controls and Policy/Procedure (or SOP Manual), we perform Physical and Practical Assessments of the Surveillance Department, CCTV Equipment and Functions, Personnel and Practical Applications. We will work closely with your Director, Manager, Supervisors and Officers to evaluate Compliance and Controls, which are then rated and documented. Training programs are examined, as well as a multitude of Internal and External risk factors.

- **Debriefing Presentation:**

After the Assessment is complete, the Management and Personnel of the Department (Including Commission Staff) join us for the learning and review segment.

- **Learning & Review Segment:**

Assessment is preceded by a 2-day Surveillance seminar: "Tribal Gaming Surveillance in a Volatile Industry". The course includes All items covered in our 2-III. Tribal Gaming Surveillance Protocol Course plus:

- How A Risk Assessment Works
- What a Risk Assessment Means
- Your Surveillance/CCTV Department Risk Assessment Review

The whole process spans 5-Days:

Day 1 - 3	Day 4 & 5
Surveillance/CCTV Systems & Applications Assessment	Seminar & Review

Stringfellow Listens to You!

For the past 17 years, we have worked at providing the finest training available in the Gaming Industry.

We strive to keep our pricing competitive, training updated and fresh, and our presentations original & on the cutting edge! We cannot afford to provide a less than perfect service.

The CD-ROMs and other give-aways we hand out at our Regional and Onsite Seminars are filled with Invaluable information.

The Trusted Associates we do promote, we do so without any compensation, we have nothing to sell except our services!

The following testimonials come from several sources; Seminar Evaluations, E-mails and Letters sent to us.

On Title 31:

Thanks again for a great two days of learning. As a new compliance officer, it becomes clearer to me each day how much I don't know! ... Thank you for providing me with a great learning environment at your seminar.

I look forward to receiving additional training from you in the future.

~Todd Arend, Compliance Officer – Shoalwater Bay Casino

I attended the seminar for Title 31 Compliance in LV this past week and I learned a lot while in Vegas by attending your training class and look forward to attending more of your training sessions in the future.

~Carl R. Noon Assistant General Manager – Golden Pony Casino

I wanted to tell you once again how much I enjoyed your approach (high energy) to facilitating the Title 31 Compliance seminar. I came back to San Manuel all "pumped up". Title 31 can be fun.

~David D. Maruna BSA Compliance Officer San Manuel Indian Bingo & Casino

I attended your Title 31 class on June 12-13, 2008 I thought your class was excellent. I sure appreciate your help and I hope to see you again at one of your seminars.

~Amanda Ramirez Jr. Auditor – Picayune Rancheria Tribal Gaming Commission

Thanks for putting on a wonderful seminar. It was fact filled and could have been boring – but you made it fun. And, that's a real talent.

~Jim Somborovich Title 31 Officer Snoqualmie Casino

Thank you very much for the excellent Title 31 presentation given at Treasure Island Casino, Your method of presenting the material was "spot on".

After listening to you and picking up information from others attending, I understand I have a lot to do to get prepared for an IRS Title 31 audit.

~Russ Worthington Compliance Officer – Spirit Mountain Gaming, Inc.

In General:

Your seminar was one of the best I have ever attended.

~Kimberlei Babbitt Regulatory Clerk Osage Million Dollar Elm

I do want to say again how much I enjoyed your class, you are a very inspiring trainer, and put on a very interesting class. I hope you know that I am going to steal some stuff for our training here. In fact, would you be able to send me the Twin Tower video? That really, really hits home and would be excellent training material.

~Teri Haggard Revenue Accounting Manager – Chukchansi Gold Resort & Casino

Once again thank you for an outstanding seminar. Lots of great information, I am going to pass this along to all of my employees here in Skiatook. Hopefully I can catch another one of your seminars in the future.

~Byron Bighorse General Manager OTP/OMDE Skiatook

Thanks again for taking the time to come to Tulsa and help us out.

I enjoyed your presentation, I feel that I learned and I will certainly be passing along what I learned to my staff.

~Chris White General Manager – Osage Million Dollar Elm Casinos

Best training yet! Lots of useful stuff, Wish we had more time!

~Jeffrey S. Miracle – Director of Surveillance – Seneca Casinos

Best training I've been to in a long time. Very informative. Additional materials and resources are excellent.

~Elaine Hinman – Gaming Commissioner/Council Person – Seneca Nation

Just wanted to let you know again that your class was outstanding and I really enjoyed it. I believe the information that you present would be valuable to the law enforcement community at the street level. Many times we become so involved in our work that we don't have time to enhance our knowledge in other related areas until it is too late. Let me know if I can assist you in anyway...

~Kathryn Jackson San Manuel Indian Nation Training Division

I am retired from California law enforcement after 29 years of service, the last 11 being Chief of Police. During my career in law enforcement, I attended seminars and training courses from Northern California to San Diego, and I found your seminar to be one of the best (If not THE best) I have ever attended. During your seminar, I was impressed with your knowledge of the subject matter. You made excellent use of visual aids, kept to your schedule, encouraged student participation, and made the course interesting.

~Mel Brown – Security Director – Blue Lake Casino

This is in regards to the training held at the Apache Nugget Casino. I have received some outstanding & positive comments & feedback from the staff and casino personnel about your class. Thank you for opening these individuals' eyes to what the gaming commission's does and what the gaming operations should do.

~Melissa Eaton – Director JAGRA

Rarely, if ever, have I attended a training session where the attendees got so excited and

actually looked forward to learning! Barron is an inspired trainer,
that spoke the workplace
language and actually allowed the class to participate and...enjoy themselves. Your very
informative programs have the Extreme Edge!

~Greg Johnson – Security Director – San Felipe Casino Hollywood

I think the content of your course (TEAM Concept Training) and
your thorough knowledge of the specific areas within Casino organizations
helped open our management team's eyes to what we are now realizing is an
entity-wide issue regarding our organization's historic neglect for proper internal control
and policies & procedures.

Once again, thanks to your eye-opening presentation we have a better
understanding as to the potential seriousness of this and have
made our Casino's policy and procedure and technological re-vamp a top priority!

~Sean McCabe – General Manager – San Felipe Casino Hollywood

Amazing Presentation! Mr. Stringfellow put very important information
in real-life perspective. Great!!

~Shawna Samuel – Executive Director – Pueblo of Tesuque TGC

Again I wanted to thank you for the seminar, [it] was worthwhile and has introduced a
new way of

thinking that would have taken me much longer to figure out on my own.

~L.J. Moran Compliance Officer Rosebud Casino

Thank you for your presentation and all that went with it. Very differently presented, loved
it.

~Theresa Toribio Gaming Commissioner Sandia Tribal Gaming Commission

I enjoyed the relaxed atmosphere and the quality information!

~Sarah Anderson – Internal Auditor – Seminole Nation Gaming Agency

This class was Beyond Excellent!

**~Camedra Jones – Gaming Inspector – Chickasaw Nation
Office of the Gaming Commissioner**

I appreciate Barron's enthusiasm and Energy! I also like that he truly cares about Indian
Gaming and Indian People. Thank-you so very much for allowing myself and our enterprise
to know such a wonderful person.

~Carlo Chamburas – Cage Manager – Nez Perce Tribal Gaming Enterprise